

**IN THE UNITED STATES DISTRICT COURT
FOR THE SOUTHERN DISTRICT OF NEW YORK**

TYCO INTERNATIONAL LTD. and
TYCO INTERNATIONAL (US) INC.,

Plaintiffs,

v.

MARK H. SWARTZ,

Defendant.

Civil Action No. 03-cv-2247 (TPG)

**LIST OF TRIAL EXHIBITS OF PLAINTIFFS
TYCO INTERNATIONAL LTD. AND TYCO INTERNATIONAL (US) INC.
AND OBJECTIONS OF DEFENDANT MARK H. SWARTZ**

Plaintiffs Tyco International Ltd. and Tyco International (US) Inc. (collectively “Tyco”) list herein the exhibits they may offer as part of their case-in-chief (and not solely for impeachment purposes). Tyco reserves the right to modify or supplement this list, as appropriate, including, without limitation, in response to future rulings by the Court and/or evidence offered or presented by Plaintiff Mark H. Swartz (“Swartz”).

Trial Ex. Number	Description	Cross Reference ID Number	Swartz Objection
PX0001	<i>The People of the State of New York vs. Swartz</i> - Jury Verdict Sheet (6/2/05)		
PX0002	<i>The People of the State of New York vs. Kozlowski</i> - Jury Verdict Sheet (6/2/05)		
PX0003	Official Transcript in <i>The People of the State of New York vs. L. Dennis Kozlowski and Mark H. Swartz</i> – Charge (6/2/05)		
PX0004	Official Transcript in <i>The People of the State of New York vs. L. Dennis Kozlowski and Mark H. Swartz</i> – Sentencing (9/19/05)		Fed. R. Evid. 402, 403, 802 (Defendant is prepared to meet and confer regarding stipulations as to the details of sentence, relevant 801 admissions, if any, etc.)
PX0005	Order in <i>The People of the State of New York vs. L. Dennis Kozlowski and Mark H. Swartz</i> for Mark H. Swartz to pay \$35,000,000 (9/19/05)		
PX0006	Indictment in <i>The People of the State of New York vs. L. Dennis Kozlowski and Mark H. Swartz</i> - Indictment No. 5259/2002 (9/10/02)		402, 403
PX0007	Amended Indictment in <i>The People of the State of New York vs. L. Dennis Kozlowski and Mark H. Swartz</i> - Indictment No. 5259/2002 (1/18/05)		
PX0008	Official Transcript in <i>The People of the State of New York vs. L. Dennis Kozlowski and Mark H. Swartz</i> (5/23/05 - 5/27/05) - Closing Arguments		402, 403, 802
PX0009	Brief for Defendant-Appellant Mark H. Swartz filed in <i>The People of the State of New York vs. L. Dennis Kozlowski and Mark H. Swartz</i> , in the New York Supreme Court, Appellate Division – First Department (10/2/06)		402, 403
PX0010	Brief for Respondent filed in <i>The People of the State of New York vs. L. Dennis Kozlowski and Mark H. Swartz</i> , in the New York Supreme Court, Appellate Division – First Department (6/00/07)		402, 403, 802
PX0011	Reply Brief for Defendant-Appellant Mark H. Swartz filed in <i>The People of the State of New York vs. L. Dennis Kozlowski and Mark H. Swartz</i> , in the New York Supreme Court, Appellate Division – First Department (9/14/07)		402, 403
PX0012	<i>The People of the State of New York vs. L. Dennis Kozlowski and Mark H. Swartz</i> , 846 N.Y.S.2d 44 (N.Y. App. Div. 2007) (11/15/07)		402, 403, 802
PX0013	<i>The People of the State of New York vs. L. Dennis Kozlowski and Mark H. Swartz</i> , 11 N.Y.3d 223 (Court of Appeals of New York) (10/16/08)		402, 403, 802
PX0014	Brief for Defendant-Appellant Mark H. Swartz filed in <i>The People of the State of New York vs. L. Dennis Kozlowski and Mark H. Swartz</i> , in the Court of Appeals of the State of New York (4/29/08)		
PX0015	Reply Brief for Defendant-Appellant Mark H. Swartz filed in <i>The People of the State of New York vs. L. Dennis Kozlowski and Mark H. Swartz</i> , in the Court of Appeals of the State of New York (8/8/08)		

Trial Ex. Number	Description	Cross Reference ID Number	Swartz Objection
PX0016	Executive Retirement Agreement between Tyco International Ltd. and Mark H. Swartz (3/1/99)	ATYC-07540179 – ATYC-07540184	
PX0017	First Amendment to Tyco International Ltd. Executive Retirement Agreement with Mark H. Swartz (10/1/99)	ATYC-07540177 – ATYC-07540178	
PX0018	Retention Agreement between Tyco International Ltd. and Mark H. Swartz (1/22/01)	ATYC-28763833 – ATYC-28763844	
PX0019	Amendment to Retention Agreement between Tyco International Ltd. and Mark H. Swartz (8/1/01)	ATYC-28763845	
PX0020	Tyco International Ltd. Minutes of a Special Meeting of the Board of Directors (2/20/02)	Foss, Stephen (20070510) 0012	
PX0021	Tyco International Ltd. Minutes of the Compensation Committee Meeting (2/21/02)		
PX0022	Tyco International Ltd. Compensation Committee Charter Adopted by Board of Directors (4/17/02)	Welch, Joseph (20070424) 0001	
PX0023	Interoffice Memorandum to all Directors from the Corporate Governance and Nominating Committee (attaching minutes summarizing actions taken by the Committee on April 29, 2002) (5/6/02)	Swartz, Mark (20070919) 0014	
PX0024	Retention Agreement Between Tyco and Boies, Schiller & Flexner LLP (5/17/02)		
PX0025	Tyco International Ltd. Minutes of a Special Meeting of the Board of Directors (6/3/02)	ATYC-09608396 – ATYC-09608398	
PX0026	Tyco International Ltd. Minutes of a Special Meeting of the Board of Directors (6/9/02)	ATYC-01307846 – ATYC-01307848	
PX0027	Tyco International Ltd. Minutes of the Compensation Committee Meeting (6/12/02)	ATYC-07213004 – ATYC-07213009	Defendant objects to the admission of document in redacted form
PX0028	Tyco International Ltd. Minutes of a Special Meeting of the Board of Directors (6/14/02)	ATYC-01307849 – ATYC-01307851	
PX0029	Tyco International Ltd. Minutes of a Special Meeting of the Board of Directors (7/17/02)	ATYCG-00007804 – ATYCG-00007805	
PX0030	Tyco International Ltd. Minutes of a Special Meeting of the Board of Directors (7/23/02)	ATYCG-00007806 – ATYCG-00007811	
PX0031	Tyco International Ltd. Board of Directors Written Resolution (7/26/02)	CORE01869 – CORE01878	
PX0032	Mark H. Swartz Handwritten Notes re: Retention Agreement (7/31/02)	TY-SP 0145724 PE5601-A1	402, 403
PX0033	Mark H. Swartz Handwritten Notes re: 1999-2001 Bonus Plan (7/31/02)	TY-SP 0145737 PE5601-A10	402, 403
PX0034	Agreement Between Mark Swartz and Tyco International Ltd. (8/1/02)	ATYC-28763827 – ATYC-28763830	
PX0035	Mitchell Letter to Verkuil re: Agreement Between Mark H. Swartz and Tyco International Ltd. (8/1/02)		

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PX0036	Verkuil Letter to Mitchell re: Agreement Between Mark H. Swartz and Tyco International Ltd. (8/1/02)		
PX0037	Financial News – “Tyco Releases Letter to Employees from Newly Appointed Chairman and CEO, Edward D. Breen” (8/1/02)		
PX0038	Tyco International Ltd. Minutes of a Special Meeting of the Board of Directors (8/6/02)	ATYCG-00007812 – ATYCG-00007814	
PX0039	Tyco International Ltd. Minutes of a Special Meeting of the Board of Directors (8/14/02)	ATYCG-00007815 – ATYCG-00007817	
PX0040	Tyco International Ltd. Minutes of the Compensation Committee Meeting (8/14/02)	ATYC-27981681 – ATYC-27981682	
PX0041	List of Cash Payments to be made to Mark Swartz and other Benefits (8/14/02)	ATYC-28763831	
PX0042	Form 10-Q for Tyco International Ltd. (8/14/02)		
PX0043	Agreement to Terminate Shared Ownership Insurance Arrangement with Mark Swartz (8/16/02)	ATYC-14816598	
PX0044	Tyco International Ltd. Minutes of a Special Meeting of the Board of Directors (9/3/02)	ATYC-16686910 – ATYC-16686911	
PX0045	Tyco International Ltd. Minutes of a Special Meeting of the Board of Directors (9/12/02)	ATYC-09608562 – ATYC-09608567	
PX0046	Form 8-K for Tyco International Ltd./BER (9/17/02)		
PX0047	Tyco International Ltd. Minutes of the Compensation Committee Meeting (9/19/02)	Welch, Joseph (20070424) 0002	
PX0048	Interoffice Memorandum from Stephen Foss to Donna Sharpless re: Compensation Minutes (12/26/02)	FOSS-MCGEE-00285	
PX0049	Form 8-K for Tyco International Ltd. (12/30/02)	Foley, Mark (20070911) 0003	402, 403, 802
PX0050	Points to Make About Swartz Agreement	PRUE00019	
PX0051	Demand for Arbitration <i>In the matter of an Arbitration between Tyco International Ltd. and Mark H. Swartz</i> (10/7/02)		402, 403, 802
PX0052	Letter from James A. Mitchell to Ann M. Galvani re: Demand for Arbitration (11/6/02)		402, 403
PX0053	Letter from American Arbitration Association to Gardner Courson re: your check no. 78877 sent to the American Arbitration Association as filing fee for the <i>Tyco v. Swartz</i> dispute (3/21/03)		402, 403, 802
PX0054	Defendant's Memorandum of Law in Support of Motion to Stay and to Compel Arbitration (3/15/04)		402, 403
PX0055	Plaintiffs' Memorandum of Law in Opposition to Defendant's Motion to Stay and to Compel Arbitration (4/1/2004)		402, 403, 802
PX0056	Plaintiffs' Surreply Memorandum of Law In Opposition to Defendant's Motion to Stay and to Compel Arbitration (4/20/04)		402, 403, 802

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PX0057	Order denying Swartz's Motion to Stay filed in Tyco International, Ltd. Multidistrict Litigation, MDL Docket No. 02-1335-B (5/24/04)		402, 403, 802
PX0058	Brief of Appellant Mark H. Swartz re: Appeal from the United States District Court for the District of New Hampshire (9/21/04)		402, 403
PX0059	Reply Brief of Appellant Mark H. Swartz re: Appeal from the United States District Court for the District of New Hampshire (11/8/04)		402, 403
PX0060	Judgment entered on September 7, 2005 in <i>in re: Tyco International Ltd. Securities Litigation, Tyco International Ltd. and Tyco International (US) Inc., Plaintiffs-Appellees v. Mark H. Swartz, Defendant-Appellant</i> affirming the district court's order to dismiss Swartz's motion to stay and motion to compel arbitration (9/7/05)		402, 403, 802
PX0061	<i>In re: Tyco International Ltd. Securities Litigation</i> , 422 F.3d 41 (1st Cir. 2005) (9/7/05)		402, 403, 802
PX0062	Swartz 1995 Compensation/Tax/W-2 Information	ATYC-30911199 – ATYC-30911204	
PX0063	Swartz 1996 Compensation/Tax/W-2 Information	ATYC-30911193 – ATYC-30911198	
PX0064	Swartz 1997 Compensation/Tax/W-2 Information	ATYC-30911187 – ATYC-30911192	
PX0065	Swartz 1998 Compensation/Tax/W-2 Information	ATYC-24540864 – ATYC-24540868	
PX0066	Swartz 1999 Compensation/Tax/W-2 Information	ATYC-24540860 – ATYC-24540863	
PX0067	Swartz 2000 Compensation/Tax/W-2 Information	ATYC-24540851 – ATYC-24540859	
PX0068	Swartz 2001 Compensation/Tax/W-2 Information	ATYC-24540840 – ATYC-2454850	
PX0069	Swartz 2002 Compensation/Tax/W-2 Information	ATYC-24540834 – ATYC-34540839	
PX0070	Tyco International Ltd. Account Statement Report Indicating Receipt of Restitution Payment from Mark Swartz (10/27/06)		
PX0071	Stipulation and Order filed in <i>Morgenthau v. Kozlowski</i> (11/17/06)		402
PX0072	Summary of Option Exercises - Kozlowski and Schwartz Family Partnerships for 1999-2001		402, 403 (Defendant is prepared to meet and confer regarding stipulations as to the dates and details of the options awarded by the Board to him, but objects to evidence regarding family partnership transactions as irrelevant and prejudicial.)

Trial Ex. Number	Description	Cross Reference ID Number	Swartz Objection
PX0073	Charts re: Options Exercised by Kozlowski Family Partnership and Options Exercised by Swartz Family Partnership (Undated)		402, 403 (Defendant is prepared to meet and confer regarding stipulations as to the dates and details of the options awarded by the Board to him, but objects to evidence regarding family partnership transactions as irrelevant and prejudicial.)
PX0074	KMS Family Partnership, L.P., Formation Document (4/30/99)	KMSP-SFF000037- KMSP-SFF000054	402, 403 (Defendant is prepared to meet and confer regarding stipulations as to the dates and details of the options awarded by the Board to him, but objects to evidence regarding family partnership transactions as irrelevant and prejudicial.)
PX0075	Certificate of Limited Partnership of KMS Family Partnership, L.P. (4/30/99, 5/5/99)	KMSP-SFF000010- KMSP-SFF000012	402, 403 (Defendant is prepared to meet and confer regarding stipulations as to the dates and details of the options awarded by the Board to him, but objects to evidence regarding family partnership transactions as irrelevant and prejudicial.)
PX0076	Agreement for Sale and Assignment of Stock Options Mark H. Swartz – KMS Family Partnership, L.P. (7/19/99)	KMSP-SFF000393- KMSP-SFF000396	402, 403 (Defendant is prepared to meet and confer regarding stipulations as to the dates and details of the options awarded by the Board to him, but objects to evidence regarding family partnership transactions as irrelevant and prejudicial.)

Trial Ex. Number	Description	Cross Reference ID Number	Swartz Objection
PX0077	Agreement for Sale and Assignment of Stock Options Mark H. Swartz – KMS Family Partnership, L.P. (8/1/00)		402, 403 (Defendant is prepared to meet and confer regarding stipulations as to the dates and details of the options awarded by the Board to him, but objects to evidence regarding family partnership transactions as irrelevant and prejudicial.)
PX0078	Agreement for Sale and Assignment of Stock Options Mark H. Swartz – KMS Family Partnership, L.P. (1/30/01)		402, 403 (Defendant is prepared to meet and confer regarding stipulations as to the dates and details of the options awarded by the Board to him, but objects to evidence regarding family partnership transactions as irrelevant and prejudicial.)
PX0079	KMS Family Partnership, L.P. Assignment and Agreement; Purchase Agreement between Mark H. Swartz and KMS Family 1999 Trust (7/19/99)	KMSP-SFF000556- KMSP-SFF000562	402, 403 (Defendant is prepared to meet and confer regarding stipulations as to the dates and details of the options awarded by the Board to him, but objects to evidence regarding family partnership transactions as irrelevant and prejudicial.)
PX0080	KMS Family Partnership, L.P. Summary Reports (Undated)	KMSP-SFF000397- KMSP-SFF000400	402, 403 (Defendant is prepared to meet and confer regarding stipulations as to the dates and details of the options awarded by the Board to him, but objects to evidence regarding family partnership transactions as irrelevant and prejudicial.)
PX0081	Option Status Prior to Transfer to Partnership (Various Dates)	KMSP-SFF000422- KMSP-SFF000431	402, 403 (Defendant is prepared to meet and confer regarding stipulations as to the dates and details of the options awarded by the Board to him, but objects to evidence regarding family partnership transactions as irrelevant and prejudicial.)

Trial Ex. Number	Description	Cross Reference ID Number	Swartz Objection
PX0082	Option Status After Transfer to the Partnership – vestings as of 7/20/99	KMSP-SFF000432- KMSP-SFF000436	402, 403 (Defendant is prepared to meet and confer regarding stipulations as to the dates and details of the options awarded by the Board to him, but objects to evidence regarding family partnership transactions as irrelevant and prejudicial.)
PX0083	Option Status After Exercise and Reload (Various Dates)	KMSP-SFF000437- KMSP-SFF000448	402, 403 (Defendant is prepared to meet and confer regarding stipulations as to the dates and details of the options awarded by the Board to him, but objects to evidence regarding family partnership transactions as irrelevant and prejudicial.)
PX0084	Form 4 – Statement of Changes in Beneficial Ownership (8/9/99)	KMPS-SFF000612- KMSP-SFF000617	
PX0085	KMS Family Partnership, L.P. Stock Options Transferred (Undated)	KMPS-SFF000484- KMSP-SFF000488	402, 403 (Defendant is prepared to meet and confer regarding stipulations as to the dates and details of the options awarded by the Board to him, but objects to evidence regarding family partnership transactions as irrelevant and prejudicial.)
PX0086	Grant Detail Reports – Mark H. Swartz (Various Dates)	KMPS-SFF000494- KMSP-SFF000502	
PX0087	Grant Detail Reports – KMS Family and Mark Swartz (Various Dates)	KMSP-SFF000491- KMSP-SFF000493	402, 403 (Defendant is prepared to meet and confer regarding stipulations as to the dates and details of the options awarded by the Board to him, but objects to evidence regarding family partnership transactions as irrelevant and prejudicial.)

Trial Ex. Number	Description	Cross Reference ID Number	Swartz Objection
PX0088	Grant Detail Reports – KMS Family and Mark Swartz (Various Dates)	ATYC-TC-00015498- ATYC-TC-00015500	402, 403 (Defendant is prepared to meet and confer regarding stipulations as to the dates and details of the options awarded by the Board to him, but objects to evidence regarding family partnership transactions as irrelevant and prejudicial.)
PX0089	Grant Detail Report – Mark Swartz (7/20/99)	KMSP-SFF000575- KMSP-SFF000577	
PX0090	Grant Summary and Detail Reports, 7/22 & 8/9/99, for Mark Swartz and KMS Family (Undated)	KMSP-SFF000583- KMSP-SFF000589	402, 403 (Defendant is prepared to meet and confer regarding stipulations as to the dates and details of the options awarded by the Board to him, but objects to evidence regarding family partnership transactions as irrelevant and prejudicial.)
PX0091	Grant Summary and Grant Detail Reports for Mark Swartz and KMS Family (Various Dates)	KMSP-SFF000664- KMSP-SFF000669	402, 403 (Defendant is prepared to meet and confer regarding stipulations as to the dates and details of the options awarded by the Board to him, but objects to evidence regarding family partnership transactions as irrelevant and prejudicial.)
PX0092	KMS Stock Options – Cost (Various Dates)	KMSP-SFF000513- KMSP-SFF000516	402, 403 (Defendant is prepared to meet and confer regarding stipulations as to the dates and details of the options awarded by the Board to him, but objects to evidence regarding family partnership transactions as irrelevant and prejudicial.)
PX0093	KMS Stock Options – Exercise & Sale January 2001 (Undated)	KMSP-SFF000517- KMSP-SFF000518	402, 403 (Defendant is prepared to meet and confer regarding stipulations as to the dates and details of the options awarded by the Board to him, but objects to evidence regarding family partnership transactions as irrelevant and prejudicial.)

Trial Ex. Number	Description	Cross Reference ID Number	Swartz Objection
PX0094	Mark Swartz transfer stock options to KMS, with Grant Detail Report (1/22/01)	KMSP-SFF000523- KMSP-SFF000529	402, 403 (Defendant is prepared to meet and confer regarding stipulations as to the dates and details of the options awarded by the Board to him, but objects to evidence regarding family partnership transactions as irrelevant and prejudicial.)
PX0095	KMS Family Partnership July 1999 Exercised (Various Dates)	KMSP-SFF000530- KMSP-SFF000535	402, 403 (Defendant is prepared to meet and confer regarding stipulations as to the dates and details of the options awarded by the Board to him, but objects to evidence regarding family partnership transactions as irrelevant and prejudicial.)
PX0096	Letter from Mark Swartz to Barry Shapiro re: Tyco International Ltd. Long Term Incentive Plan, Control No. O99-101 (7/22/99)	KMSP-SFF000689- KMSP-SFF000690	402, 403 (Defendant is prepared to meet and confer regarding stipulations as to the dates and details of the options awarded by the Board to him, but objects to evidence regarding family partnership transactions as irrelevant and prejudicial.)
PX0097	Fax from Joe Toce and Dan Depaoli to Mark Swartz and Kathy McRae re: Partnership/Trust (7/15/99)	KMSP-SFF000695- KMSP-SFF000699	402, 403, 802 (Defendant is prepared to meet and confer regarding stipulations as to the dates and details of the options awarded by the Board to him, but objects to evidence regarding family partnership transactions as irrelevant and prejudicial.)
PX0098	KMS Family 1999 Trust Promissory Note for \$5,000,000 (7/19/99)	KMSP-SFF00896- KMSP-SFF00897	402, 403 (Defendant is prepared to meet and confer regarding stipulations as to the dates and details of the options awarded by the Board to him, but objects to evidence regarding family partnership transactions as irrelevant and prejudicial.)

Trial Ex. Number	Description	Cross Reference ID Number	Swartz Objection
PX0099	Purchase Agreement between Mark H. Swartz and KMS Family 1999 Trust and Pledge Agreement between Mark H. Swartz and KMS Family 1999 Trust (7/19/99)	KMSP-SFF000898- KMSP-SFF000908	402, 403 (Defendant is prepared to meet and confer regarding stipulations as to the dates and details of the options awarded by the Board to him, but objects to evidence regarding family partnership transactions as irrelevant and prejudicial.)
PX0100	Arthur Andersen Letters from Joseph Toce to Mark Swartz re: KMS Family Partnership L.P. (7/19/99)		402, 403, 802 (Defendant is prepared to meet and confer regarding stipulations as to the dates and details of the options awarded by the Board to him, but objects to evidence regarding family partnership transactions as irrelevant and prejudicial.)
PX0101	Arthur Andersen Letter from Joseph Floyd to Mark Swartz re: KMS Family Partnership, L.P. (10/15/99) Conditions	KMSP-SFF000249- KMSP-SFF000262	402, 403, 802 (Defendant is prepared to meet and confer regarding stipulations as to the dates and details of the options awarded by the Board to him, but objects to evidence regarding family partnership transactions as irrelevant and prejudicial.)
PX0102	Arthur Andersen Appraisal Letter from Joseph Floyd and Jeffrey Gray to Mark Swartz re: KMS Family Partnership, L.P. (9/18/00)	KMSP-SFF000866- KMSP-SFF000880	402, 403, 802 (Defendant is prepared to meet and confer regarding stipulations as to the dates and details of the options awarded by the Board to him, but objects to evidence regarding family partnership transactions as irrelevant and prejudicial.)
PX0103	Arthur Andersen Letter from J. Floyd and Jeffrey Gray to Mark Swartz re: KMS Family Partnership, L.P. (2/12/01)	KMSP-SFF000749- KMSP-SFF000762	402, 403, 802 (Defendant is prepared to meet and confer regarding stipulations as to the dates and details of the options awarded by the Board to him, but objects to evidence regarding family partnership transactions as irrelevant and prejudicial.)

Trial Ex. Number	Description	Cross Reference ID Number	Swartz Objection
PX0104	Arthur Andersen Letter from Joseph Toce to Mark Swartz re: Stock Option Transfers (2/16/01)	KMSP-SFF000843- KMSP-SFF000844	402, 403, 802 (Defendant is prepared to meet and confer regarding stipulations as to the dates and details of the options awarded by the Board to him, but objects to evidence regarding family partnership transactions as irrelevant and prejudicial.)
PX0105	Defendant Mark Swartz's Responses and Objections to ERISA Plaintiffs' First Requests for Admissions (8/20/07)		402, 403
PX0106	Mark Swartz's Responses and Objections to Tyco's First Sets of Contention Interrogatories and Requests for Admission (8/31/07)		402, 403
PX0107	Tyco International Ltd. and Tyco International (US) Inc.'s Statement of Undisputed Facts (7/9/10)		402, 403, 802
PX0108	Defendant Mark Swartz's Response to the Statement of Undisputed Facts of Plaintiffs Tyco International Ltd. and Tyco International (US) Inc. and Statement of Additional Facts in Dispute (9/3/10)		402, 403
PX0109	Complaint in the <i>SEC vs. L. Dennis Kozlowski, et al. Litigation</i> (9/11/02)		402, 403, 802
PX0110	Final Judgment as to Defendant Mark H. Swartz in the <i>SEC vs. L. Dennis Kozlowski, et al. Litigation</i> (7/16/09)		402, 403
PX0111	Board of Director Meeting Minutes (Group Exhibit)		
PX0112	Audit Committee Minutes and Background Materials (Group Exhibit)		
PX0113	Compensation Committee Minutes and Background Materials (Group Exhibit)		
PX0114	Nomination & Governance Committee Meeting Minutes (Group Exhibit)		
PX0115	Minutes of Regular Meeting of the Board of Directors (8/1/95)	Kozlowski, Dennis (20070631) 0045	
PX0116	Interoffice Memorandum from Dennis Kozlowski to Mark Swartz and Patty Prue re: Compensation (9/11/00)	Kozlowski, Dennis (20071030) 0008	
PX0117	Interoffice Memorandum from Mark Swartz to Files re: Special TyCom Related Bonuses (9/29/00)	Prue, Patricia (20070214) 0028	
PX0118	Interoffice Memorandum from Kathy McRae to Mark Swartz re: Withholding Taxes on Restricted Stock Vesting of September 30, 2000 (10/5/00)		
PX0119	Chart re: FLAG Vesting Information for Kozlowski and Swartz	ATYC7352908	
PX0120	FLAG Vesting		
PX0121	Interoffice Memorandum from Patty Prue to Mark Swartz re: Restricted Share: Proceeds (8/14/01)	ATYC-AR0150000093829	
PX0122	Interoffice Memo from Tammy Cross to Mark Swartz re: Withholding Taxes on Restricted Stock Vesting October 31, 2000 (10/31/00)	Bakie, Tammy (20060817) 0020	

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PX0123	ADT Automotive Inc. Bonus and Relocation Payments Master List (Undated)	Moroze, Brian (20070411) 0004	
PX0124	Interoffice Memo from Mark Swartz to Files re: Special ADT Automotive related bonuses (12/15/00)	ATYC-01638750	
PX0125	Letter from Dennis Kozlowski to Mark Swartz Enclosing Bonus and Relocation Payments (11/13/00)	ATYC-23403984	
PX0126	Fax of 11/1/00 ADT Automotive Bonus Chart (8/23/02)	PE0921D	
PX0127	Letter from Frank Walsh to Mark Swartz re: Subject Invoice for the Investment Banking Services (7/18/01)	Robinson, Michael (20070411) 0009	
PX0128	Fax from Frank Walsh to Mark Swartz re: BOD Issue (7/25/01)	Walsh, Frank (20061002) 0012	
PX0129	Tyco International Relocation Program New York City Corporate Headquarters Relocation (9/00/95)	Belnick, Mark (20070910) 0011	
PX0130	Handwritten Notes to Barb and Brian attaching draft New York City Corporate Headquarters Relocation Program and Loan Agreement		
PX0131	Tyco International Relocation Program New York City Corporate Headquarters Relocation with attached Promissory Note (9/00/95)	PE1982	
PX0132	Handwritten Memorandum from Mark Swartz to Dennis Kozlowski re: Approved Relocation Program with Approved Wire Transfer Request Attached (12/19/95)		
PX0133	Tyco International Ltd. - 1983 Key Employee Corporate Loan Program (12/8/93)	Miller, Barbara (20060719) 0032	
PX0134	Collection of Wire Transfer Requests and Documents re: KELP (1/25/99 – 9/30/99)	ATYCA-00784628	
PX0135	Chart re: Key Employee Loan Program Mark Swartz, 10/1/97 – 7/31/02		
PX0136	Spreadsheet re: Mark Swartz KEL Activity	PE5101	
PX0137	Interoffice Memorandum from Kathy McRae to Mark Swartz re: October 18, 1999 Restricted Stock Vesting/Share: Equivalent (12/14/99)	ATYC-23413098 ATYC-23413099 ATYC-23409989	
PX0138	Interoffice Memorandum from Michael Robinson to Patty Prue re: LDK & MHS Stock Option Exercises (8/5/99)	Robinson, Michael (20070411) 0013	
PX0139	File Collection re: Swartz, Mark of various Restricted Share Reports and Stock Ownership (Various Dates)		
PX0140	Spreadsheet re: Restricted Stock Ownership for Mark H. Swartz (8/1/02)	PE0126	
PX0141	Interoffice Memorandum from Antonella Franzen to Distribution re: Investor Relations Update for March 2012 (4/30/12)		402, 403, 802
PX0142	Listing of Tyco International's Top Institutional (100) Shareholders (3/21/12)		402, 403, 802
PX0143	Tyco Letter from Dennis Kozlowski to Shareholders re: Notice of 2002 Annual General Meeting of Shareholders re: Solicitation of Proxies (1/28/02)		

Trial Ex. Number	Description	Cross Reference ID Number	Swartz Objection
PX0144	Graph: Tyco International, Ltd. Stock Prices (January 2002- September 2002)		402, 403
PX0145	Tyco International Ltd. Closing Stock Prices (1/2/02-9/30/02)		402, 403
PX0146	1997 Director & Officer Questionnaire: (DOQ) (11/30/97)	PX2900A	
PX0147	1998 Director & Officer Questionnaire: (DOQ) (11/30/98)	ATYC-28220370 – ATYC-28220376	
PX0148	1999 Director & Officer Questionnaire: (DOQ) (08/12/99)	ATYC-29902768 – ATYC-29902775	
PX0149	2000 Director & Officer Questionnaire: (DOQ) (12/30/99)		
PX0150	2000 Director & Officer Questionnaire: (DOQ) (2/11/00)	PE3300B	
PX0151	2001 Director & Officer Questionnaire: (DOQ) (1/18/01)	ATYC-28220394 – ATYC-28220406	
PX0152	2002 Director & Officer Questionnaire: (DOQ) (1/25/02)	PE3500A	
PX0153	Tyco International Form 10-K Signed (9/20/95)		
PX0154	Tyco International Form 10-K Signed (9/20/96)		
PX0155	Tyco International Form 10-K Signed (9/29/97)		
PX0156	Tyco International Form 10-K Signed (12/10/98)		
PX0157	Tyco International Form 10-K Signed (12/13/99)		
PX0158	Tyco International Form 10-K Signed (12/21/00)		
PX0159	Tyco International Form 10-K Signed (12/28/01)		
PX0160	Tyco International Form 10-K Signed (12/30/02)		
PX0161	Tyco International Ltd./BER/ Definitive Proxy Statement (2/20/98)	Walsh, Frank (20071003) 0051	
PX0162	Tyco International Ltd./BER/ Definitive Proxy Statement (9/27/99)	Walsh, Frank (20071003) 0032	
PX0163	Tyco International Ltd./BER/ Definitive Proxy Statement (3/1/00)	Walsh, Frank (20071003) 0034	
PX0164	Tyco International Ltd./BER/ Definitive Proxy Statement (1/29/01)	Ashcroft, Michael (20070420) 0013	
PX0165	Tyco International Ltd./BER/ Form DEF 14A (Proxy Statement (definitive)) (1/29/01)	Sharpless, Donna (20061221) 0004	
PX0166	Tyco International Ltd./BER/ Definitive Proxy Statement (1/28/02)	Walsh, Frank (20061002) 0023	
PX0167	Collection of Artwork Photos	PE1401-1403; PE1501-1504; PE1601	402, 403
PX0168	Tyco International Supplemental Executive Retirement Plan (10/1/00)	ATYCF-00015125 – ATYCF-00015144	
PX0169	Tyco Amended Deferred Compensation Plan (5/03)	Jenkins, John (20061212) 0001	
PX0170	Tyco International Ltd. Executive Retirement Agreement with Mark H. Swartz (3/1/99)		
PX0171	Tober Memorandum to Galvani re Independent Investigation August 1999 \$38.5 Million Payroll (7/16/02)	DEB1130	
PX0172	TME Management Corp General Journal Record of "Accrued Bonus" Entries (1999)	PE0607	

Trial Ex. Number	Description	Cross Reference ID Number	Swartz Objection
PX0173	Memorandum from Richard Bodman to John Fort, Michael Ashcroft and Joshua Berman re Governance Committee Assignment	Bodman, Richard (20071019) 0017	
PX0174	Summary Financial Exhibits		
PX0175	Summary of Issues Under Investigation: Mark Swartz	Swartz, Mark (20070920) 0029	Defendant objects to the admission of document in redacted form
PX0176	Draft Form 8-K for Tyco International Ltd. (9/12/02)	ATYC-29271230 – ATYC-29271251	

Dated: October 5, 2012

Respectively submitted,

TYCO INTERNATIONAL LTD. and TYCO
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